REQUEST FOR APPROVAL OF FEDERAL FUNDS

FISCAL YEAR 2022-2023

General Fund / Other Fund

Prepared For
Appropriations Committee Hearings
February 2022
Request for Approval of Federal Funds
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2022-2023

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COMMONWEALTH OF PENNSYLVANIA
REQUEST FOR APPROVAL OF FEDERAL FUNDS

1. Federal Fund (Title/SAP Symbol/ICS Symbol)
SABG - Administration & Operation 7096100000

2. State Agency
Dept. of Drug & Alcohol Programs

3. Action Requested
[X] Legislative Fund

4. Supplemented Fund (Title/SAP Symbol/ICS Symbol)
General Government Operations 1102800000

5. Contact Person (Telephone No.)
Tia J. Roebuck (717-783-8200)

6. Restricted Receipt/Revenue (Title/Symbol)
N/A

7. Federal Grant Program Title
Substance Abuse Prevention & Treatment Block Grant

93.959

9. Purpose
[X] Original Request

10. Federal Granting Agency/Division
DHHS/Substance Abuse and Mental Health Services Administration

11. TYPE OF PROGRAM
[X] On-going
[ ] One time

12. Federal Grant Authorization
42 U.S.C. 300x et seq.

13. Funding (Dollar Amounts in Thousands)

<table>
<thead>
<tr>
<th></th>
<th>Actual 2020-21</th>
<th>Available 2021-22</th>
<th>Request 2022-23</th>
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<tbody>
<tr>
<td>Carryover</td>
<td></td>
<td>$8,785</td>
<td>$8,785</td>
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<tr>
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<td>100.00%</td>
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<tr>
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<td>$8,785</td>
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<tr>
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<td>Required Matching</td>
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<tr>
<td>State</td>
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<tr>
<td>Other</td>
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<tr>
<td>Program Total</td>
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<tr>
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<td>64</td>
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MATCH EXPLANATION:

14. Is the amount requested an increase or decrease from the amount in previous years? 
Yes [X] No [ ] If yes, explain below.

The requested amount is based on Federal reimbursement for estimated program activity.

15. Are these funds discretionary? 
Yes [X] No [ ] If yes, explain below.

16. Are these administrative funds for a restricted receipt? 
Yes [X] No [ ] If so, what is the amount of the restricted receipt?

17. What is the Federal lapse date? 
09/30/2024

18. Grant description and results (purpose of grant, key program activities, and expected results in terms of a major program measure).

This fund covers the administrative and operating costs associated with SABG - Drug & Alcohol Services, Fund 70961. Although, not all personnel and support costs are considered administrative under the Substance Abuse Prevention & Treatment Block Grant.
**1. Federal Fund (Title/SAP Symbol/ICS Symbol)**
SA Special Projects - Admin. & Operation 7096200000

**2. State Agency**
Dept. of Drug & Alcohol Programs

**3. Action Requested**
[X] Legislative Fund

**4. Supplemented Fund (Title/SAP Symbol/ICS Symbol)**
General Government Operations 1102800000

**5. Contact Person (Telephone No.)**
Tia J. Roebuck (717-783-8200)

**6. Restricted Receipt/Revenue (Title/Symbol)**
N/A

**7. Federal Grant Program Title**
- Drug and Alcohol Services Information System (DASIS)
- State Epidemiological Outcomes Workgroup (SEOW)
- State Outcome Measurement and Management System (SOMMS)
- Screening, Brief Intervention and Referral to Treatment (SBIRT)

**8. Federal CFDA No.**
93.243, 99.999

**9. Purpose**

[X] Renewal

[X] Other: Budget

**10. Federal Granting Agency/Division**
DHHS/Substance Abuse and Mental Health Services Administration

**11. TYPE OF PROGRAM**
[X] On-going

**12. Federal Grant Authorization**
42 U.S.C. 290aa-4; Public Health Service Act, Section 319(a), 509, 516

**13. Funding (Dollar Amounts in Thousands)**

<table>
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<th>Actual 2020-21</th>
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<td>$4,617</td>
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<tr>
<td>Other</td>
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<td>0.00%</td>
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<tr>
<td>Program Total</td>
<td>$4,821</td>
<td>$4,617</td>
<td>$4,327</td>
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<tr>
<td>100% Federal Complement</td>
<td>0</td>
<td>0.00%</td>
<td>0.00%</td>
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**MATCH EXPLANATION:**

**14. Is the amount requested an increase or decrease from the amount in previous years?**
Yes [X] No [ ] If yes, explain below.

The requested amount is decreased based on CABHI, SPF-Rx and MAT-PDOA grants ending September 29, 2021.

**15. Are these funds discretionary?**
Yes [X] No [ ] If yes, explain below.

After applying for the SBIRT grant, the Department went through a competitive process in order for SAMHSA to determine the grant recipients and amount of the awards. Based on the process of awarding these funds, the SBIRT grant is considered discretionary.

**16. Are these administrative funds for a restricted receipt?**
Yes [ ] No [X] If so, what is the amount of the restricted receipt?

**17. What is the Federal lapse date?**
09/28/2023

**18. Grant description and results (purpose of grant, key program activities, and expected results in terms of a major program measure).**

This fund covers the administrative costs associated with the Substance Abuse Special Projects Grants, Fund 70964.
### COMMONWEALTH OF PENNSYLVANIA
#### REQUEST FOR APPROVAL OF FEDERAL FUNDS

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<tr>
<td>State Opioid Response 7109900000</td>
<td>Dept. of Drug &amp; Alcohol Programs</td>
<td>[X] Legislative Fund</td>
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<tbody>
<tr>
<td>General Government Operations 1102800000</td>
<td>Tia J. Roebuck (717-783-8200)</td>
<td>N/A</td>
<td>State Opioid Response (SOR) Grant</td>
<td>93.788</td>
<td>[ ] Original Request</td>
<td>Department of Health and Human Services</td>
<td>[X] One time</td>
<td>Title II Division H of the Consolidated Appropriations Act, 2020</td>
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<table>
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<tr>
<th>13. Funding (Dollar Amounts in Thousands)</th>
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<tr>
<td>Actual 2020-21</td>
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<td>Carryover</td>
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<tr>
<td>Program Total</td>
</tr>
<tr>
<td>100% Federal Complement</td>
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**MATCH EXPLANATION:**

14. Is the amount requested an increase or decrease from the amount in previous years? [X] Yes [] No If yes, explain below. Request is based on planned activities in the Department’s federal grant application.

15. Are these funds discretionary? [X] Yes [] No If yes, explain below.

16. Are these administrative funds for a restricted receipt? [X] Yes [] No If so, what is the amount of the restricted receipt?

17. What is the Federal lapse date? 09/29/2022

18. Grant description and results (purpose of grant, key program activities, and expected results in terms of a major program measure).

The purpose of this Fund is to cover the administrative costs associated with State Opioid Response, Fund 71084.
## Commonwealth of Pennsylvania
### Request for Approval of Federal Funds

<table>
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<tr>
<th>Authorization Number</th>
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<tr>
<td>2022-1</td>
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1. **Federal Fund (Title/SAP Symbol/ICS Symbol)**
   - SABG - Drug & Alcohol Services 7096300000

2. **State Agency**
   - Dept. of Drug & Alcohol Programs

3. **Action Requested**
   - [X] Legislative Fund

4. **Supplemented Fund (Title/SAP Symbol/ICS Symbol)**
   - Assistance to Drug & Alcohol Programs 1102900000

5. **Contact Person (Telephone No.)**
   - Tia J. Roebuck (717-763-6200)

6. **Restricted Receipt/Revenue (Title/Symbol)**
   - N/A

7. **Federal Grant Program Title**
   - Substance Abuse Prevention & Treatment Block Grant

8. **Federal CFDA No.**
   - 93.959

9. **Purpose**
   - [ ] Original Request
   - [ ] Subgrant
   - [ ] Amendment to Fund
   - [ ] Carryover
   - [ ] Language
   - [X] Renewal
   - [ ] Other:
   - [ ] Amend Governor's Budget

10. **Federal Granting Agency/Division**
    - DHHS/Substance Abuse and Mental Health Services Administration

11. **TYPE OF PROGRAM**
    - [X] On-going
    - [ ] One time
    - From: 
    - To: 

12. **Federal Grant Authorization**
    - 42 U.S.C. 300x et seq.

### Funding (Dollar Amounts in Thousands)

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<tr>
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<th>Actual 2020-21</th>
<th>Available 2021-22</th>
<th>Request 2022-23</th>
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<tbody>
<tr>
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<td></td>
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<tr>
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<td>$79,870</td>
<td>$79,870</td>
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<tr>
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<tr>
<td>Estimated Expenditures</td>
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<tr>
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<td><strong>Program Total</strong></td>
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<td>$79,870</td>
<td>$79,870</td>
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<tr>
<td>100% Federal Complement</td>
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<td></td>
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</table>

**MATCH EXPLANATION:**

14. Is the amount requested an increase or decrease from the amount in previous years?  
   - Yes [ ] No [X]  
   - If yes, explain below.

15. Are these funds discretionary?  
   - Yes [ ] No [X]  
   - If yes, explain below.

16. Are these administrative funds for a restricted receipt?  
   - Yes [ ] No [X]  
   - If so, what is the amount of the restricted receipt?

17. What is the Federal lapse date?  
   - 09/30/2024

18. Grant description and results (purpose of grant, key program activities, and expected results in terms of a major program measure).  
   - Activities funded by the Substance Abuse Prevention & Treatment Block Grant must be consistent with the governing federal statutory requirements which require that a minimum of 20% of the funds available for drug and alcohol must be used for prevention. For grants beyond Fiscal Year 1994, States shall expend no less than an amount equal to the amount expended by the State for Fiscal Year 1994 on services designed for pregnant women and women with dependent children. Federal block grant funds are allocated to Single County Authorities through a contracting mechanism for the delivery of substance use disorder services. In addition, a portion of the federal block grant appropriation will be used by the Department of Drug and Alcohol Programs to support a variety of prevention, intervention and treatment, and recovery support activities through direct contracts.
1. Federal Fund (Title/SAP Symbol/ICS Symbol)
   Substance Abuse Special Projects Grants 7096400000
2. State Agency
   Dept. of Drug & Alcohol Programs
3. Action Requested
   [X] Legislative Fund
4. Supplemented Fund (Title/SAP Symbol/ICS Symbol)
   Assistance to Drug & Alcohol Programs 1102900000
   93.243, 99.999

5. Contact Person (Telephone No.)
   Tia J. Roebuck (717-783-8200)
9. Purpose
   [] Original Request
   [] Subgrant

6. Restricted Receipt/Revenue (Title/Symbol)
   N/A
   [] Amendment to Fund
   [] Carryover
   [] Language
   [] Renewal
   [] Amend Governor's Budget

7. Federal Grant Program Title
   Drug and Alcohol Services Information System (DASIS)
   State Epidemiological Outcomes Workgroup (SEOW)
   State Outcome Measurement and Management System (SOMMS)
   Screening, Brief Intervention and Referral to Treatment (SBIRT)

10. Federal Granting Agency/Division
   DHHS/Substance Abuse and Mental Health Services Administration
12. Federal Grant Authorization
   42 U.S.C. 290aa-4; Public Health Service Act, Section 319(a), 509, 516

11. TYPE OF PROGRAM
   [X] On-going
   [] One time
   From: To:

13. Funding (Dollar Amounts in Thousands)
    Actual Available Request
    2020-21 2021-22 2022-23
    Carryover
    New Funding Available $28,331 $25,413 $21,954
    Federal Fund $28,331 100.00% $25,413 100.00% $21,954 100.00%
    Estimated Expenditures XXXXXXXX $25,413 XXXXXXXX
    Ending Balance $0 $0 $0
    Required Matching
    State $0 0.00% $0 0.00% $0 0.00%
    Other $0 0.00% $0 0.00% $0 0.00%
    Program Total $28,331 $25,413 $21,954
    100% Federal Complement 0 0 0

MATCH EXPLANATION:

14. Is the amount requested an increase or decrease from the amount in previous years? Yes [X] No [] If yes, explain below.
   The requested amount is decreased based on CABHI, SPF-Rx and MAT-PDOA grants ending September 29, 2021.

15. Are these funds discretionary? Yes [X] No [] If yes, explain below.
    After applying for the SBIRT grant, the Department went through a competitive process in order for SAMHSA to determine the grant recipients and amount of the awards. Based on the process of awarding these funds, the SBIRT grant is considered discretionary.

16. Are these administrative funds for a restricted receipt? Yes [ ] No [X] If so, what is the amount of the restricted receipt?

17. What is the Federal lapse date? 09/29/2023

18. Grant description and results (purpose of grant, key program activities, and expected results in terms of a major program measure).
   The purpose of this grant is to support the programmatic aspects of limited-term federal contracts and grants, as well as federally funded special projects. Grants and contracts under this appropriation may fund prevention or treatment initiatives and may or may not be issued through the Single County Authorities.
### COMMONWEALTH OF PENNSYLVANIA
REQUEST FOR APPROVAL OF FEDERAL FUNDS

<table>
<thead>
<tr>
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<tbody>
<tr>
<td>State Opioid Response (SOR) 7108400000</td>
<td>Dept. of Drug &amp; Alcohol Programs</td>
<td>[X] Legislative Fund</td>
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<td>Assistance to Drug &amp; Alcohol Programs 1102900000</td>
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<th>5. Contact Person (Telephone No.)</th>
<th>9. Purpose</th>
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<tbody>
<tr>
<td>Tia J. Roebuck (717-783-8200)</td>
<td>[] Original Request</td>
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<tr>
<th>6. Restricted Receipt/Revenue (Title/Symbol)</th>
<th>10. Federal Granting Agency/Division</th>
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<th>7. Federal Grant Program Title</th>
<th>11. TYPE OF PROGRAM</th>
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<tr>
<td>State Opioid Response (SOR) Grant</td>
<td>[X] One time</td>
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<th>12. Federal Grant Authorization</th>
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<tbody>
<tr>
<td>Title II Division H of the Consolidated Appropriations Act, 2020</td>
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<td>$178,261</td>
<td>$118,547</td>
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<tr>
<td>Estimated Expenditures</td>
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<td>Ending Balance</td>
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<tr>
<td>Required Matching</td>
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<td>State</td>
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<tr>
<td>Other</td>
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<td>$178,261</td>
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**MATCH EXPLANATION:**

14. Is the amount requested an increase or decrease from the amount in previous years? [X] Yes [] No

The requested amount is decreased based on SOR and SOR Supplemental grants ending on September 29, 2021.

15. Are these funds discretionary? [X] Yes [] No

If yes, explain below.

16. Are these administrative funds for a restricted receipt? [X] Yes [] No

If so, what is the amount of the restricted receipt?

17. What is the Federal lapse date? 09/29/2022

18. Grant description and results (purpose of grant, key program activities, and expected results in terms of a major program measure).

The purpose of this grant is to address the opioid crisis by increasing access to medication-assisted treatment using the three FDA-approved medications for the treatment of opioid use disorder, reducing unmet treatment need, and reducing opioid overdose related deaths through the provision of prevention, treatment, and recovery activities for opioid use disorders (OUD).
COMMONWEALTH OF PENNSYLVANIA
REQUEST FOR APPROVAL OF FEDERAL FUNDS

1. Federal Fund (Title/SAP Symbol/ICS Symbol)
   SHARE Loan Program 4921800000

2. State Agency
   Dept. of Drug & Alcohol Programs

3. Action Requested
   [ ] Legislative Fund
   [ ] Executive Authorization

4. Supplemented Fund (Title/SAP Symbol/ICS Symbol)
   N/A

5. Contact Person (Telephone No.)
   Tia J. Roebuck (717-783-8200)

6. Restricted Receipt/Revenue (Title/Symbol)
   SHARE Loan Program 4921800000

7. Federal Grant Program Title
   Substance Abuse Prevention and Treatment Block Grant

10. Federal Granting Agency/Division
    DHHS/Substance Abuse and Mental Health Services Administration

11. TYPE OF PROGRAM
    [X] On-going
    [ ] One time

13. Funding (Dollar Amounts in Thousands)

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MATCH EXPLANATION:

14. Is the amount requested an increase or decrease from the amount in previous years? Yes [ ] No [X] If yes, explain below.

15. Are these funds discretionary? Yes [ ] No [X] If yes, explain below.

16. Are these administrative funds for a restricted receipt? Yes [ ] No [X] If so, what is the amount of the restricted receipt?

17. What is the Federal lapse date? N/A

18. Grant description and results (purpose of grant, key program activities, and expected results in terms of a major program measure).

The Self-Help Addiction Recovery Environment (SHARE) Loan Program provides interest-free loans for housing opportunities for groups or individuals recovering from alcoholism and other drug addictions.