



## **LICENSING ALERT 03-18**

### **Intake, Evaluation and Referral (IER)**

**REPLACES LICENSING ALERT 01-16**

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December 28, 2018

The purpose of this Licensing Alert is to provide clarification as to which entities are required to obtain a Drug and Alcohol (D&A) license from the Department of Drug and Alcohol Programs (DDAP) for the provision of Intake, Evaluation and Referral (IER) activities (in this document the term license(ed) also applies to a Certificate of Compliance issued by DDAP). This alert resulted from the review of the 28 Pa. Code Chapters 709 and 711 regulations related to IER activities, 4 Pa. Code Chapter 257 regulations related to case management, and feedback from diverse stakeholders.

28 Pa. Code Chapter 701.1 defines the Intake, Evaluation and Referral activity as following:

*Intake, evaluation, and referral activity* – The provision of intake and referral by a facility designated by the Single County Authority (SCA) to perform those services centrally for two or more facilities within that SCA.

Based on this definition, DDAP will no longer issue a license for the IER activity to a facility that is not designated by an SCA to perform those services. The SCA and its contracted providers offer case management activities, which consist of screening, assessment and coordination of services for individuals in need of treatment or treatment related services.

Effective the date of this alert, DDAP will no longer require providers to add the IER activity to their D&A license if they meet the following criteria:

- Provider is already licensed under 28 Pa. Code Chapters 709 and 711, or
- Provider is already licensed under 28 Pa. Code Chapters 709 or 711 and is contracted with an SCA for the provision of case management services, which may include substance use screening and level of care assessment.

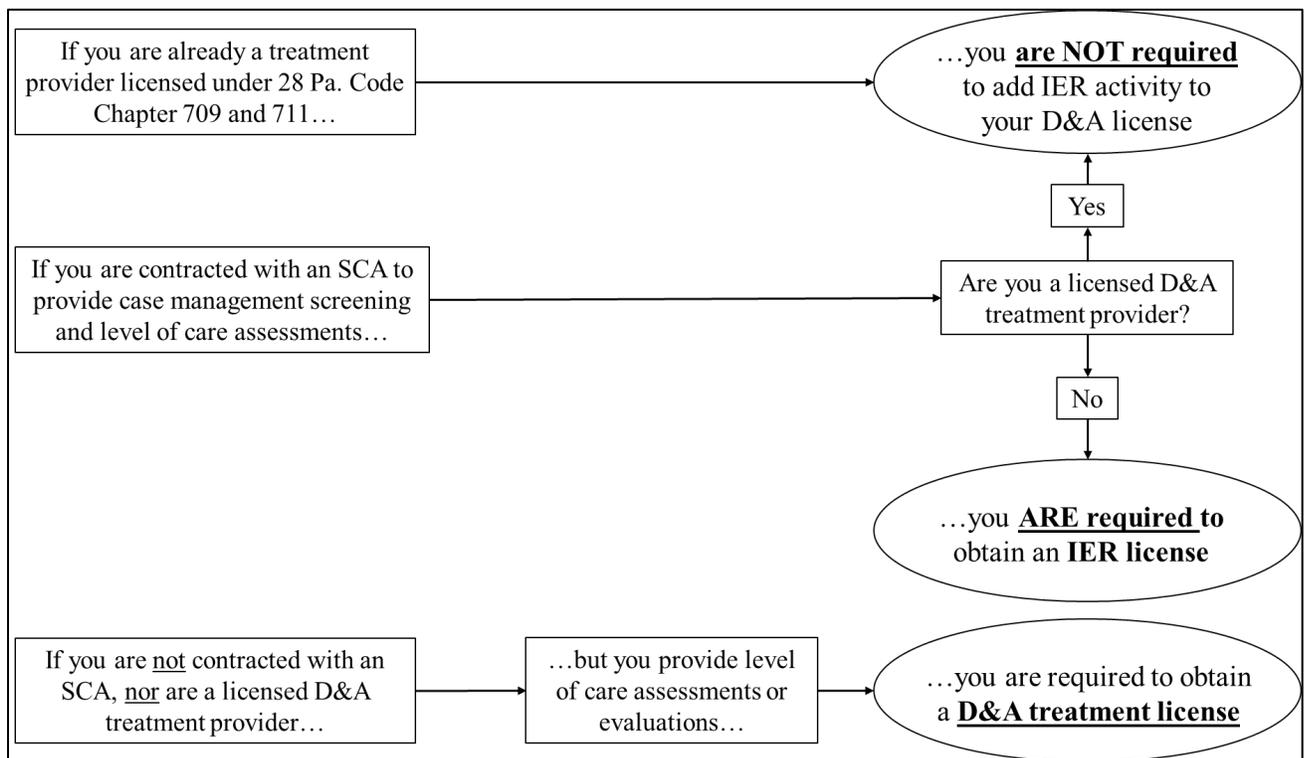
Providers currently holding a D&A license for the provision IER activities AND other D&A licensed activities have the option of maintaining the IER activity on its license and adhering to

the licensing regulations in 28 Pa. Code, or they may voluntarily relinquish the IER activity portion of their license.

DDAP will require providers to obtain an IER license if they are contracted by the SCA to provide case management screening and level of care assessment but are not otherwise a licensed D&A treatment provider.

Providers who are not contracted by the SCA, but identify as providing substance use disorder diagnosis, treatment, or referral for treatment, must apply for a D&A license for an activity other than IER. If the provider is not contracted with the SCA, it will not be permitted to obtain a standalone license for IER.

The flowchart below summarizes the types of providers who are, and who are not, required to obtain a D&A license for the provision of IER activities:



A provider under contract with an SCA must continue to comply with DDAP’s Treatment Manual and 4 Pa. Code § 257.4

<https://www.pacode.com/secure/data/004/chapter257/s257.4.html>. The SCAs are required to annually monitor a contracted facility for compliance with Section § 257.4.

Questions on Intake, Evaluation, and Referral licenses or this Alert may be directed to the DDAP Licensing Division, telephone 717-783-8675 or can be sent to RA-licensuredivision@pa.gov.

28 Pa. Code §§ 709.1(a) & (b) requires licensure by activity.

(a) This chapter establishes the procedures for the issuance of a license by activity to freestanding drug and alcohol treatment facilities....

(b) This chapter provides standards by activity for the licensure to freestanding drug and alcohol treatment facilities....

28 Pa. Code § 709.21(a) mandates that facilities performing the Intake, Evaluation and Referral activity be in compliance with Chapter 709, Standards for Licensure of Freestanding Treatment Facilities.

The intake, evaluation and referral, inpatient nonhospital, partial hospitalization, outpatient and inpatient hospital activities shall comply with this chapter.